

# Phase operator on $L^2(\mathbb{Q}_p)$ and the zeroes of its resolvent

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This talk is based on a collaboration with [Parikshit Dutta](#)  
[arXiv:2102.13445](#)

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Appropriately this can be local only in a  $p$ -adic metric!

# Outline

Motivation

Phase operator

A simple model in statistical mechanics

Phase operators related to the  $\zeta$ -function

# Riemann zeta function: infinite sum and product

Riemann  $\zeta$ -function is one of the most enigmatic functions—it is related to the prime numbers, plausibly via the Riemann hypothesis. Although proving it would be a \$\$profitable\$\$ enterprise, it is beyond the scope of this talk!

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$$\zeta(s) = \prod_{p \in \text{primes}} \frac{1}{(1 - p^{-s})} = \prod_{p \in \text{primes}} \underbrace{\zeta_p(s)}_{\text{local } \zeta\text{-function}}$$

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Riemann proposed to think of  $s$  as a complex variable and *analytically continued* it as a meromorphic function on the  $s$ -plane.



# Riemann zeta as partition function

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$$\zeta(s) \sim \text{Tr}_{\mathcal{H}_-} (\mathbb{D}^{-s})$$

$\mathbb{D} \sim \prod_p D_{(p)}$ : Vladimirov derivative,  $\mathcal{H}_- = \bigotimes_p \mathcal{H}_-^{(p)}$ , where

$\mathcal{H}_-^{(p)} = L^2(p^{-1}\mathbb{Z}_p)$  is a subspace of square integrable complex valued functions on the  **$p$ -adic space**  $\mathbb{Q}_p$  spanned by the **Kozyrev wavelets**.

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The **partition function** is seen to have zeroes when it is analytically continued to **complex** values of the parameters. Moreover, they lie along **specific curves** in the complex  $B$ -plane (**Yang-Lee**) or in the complex  $\beta$ -plane (**Fisher**).



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We shall need a **phase operator** that is “**canonically conjugate**” (in a limited sense) to the Hamiltonian.

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# Classical phase and its quantum analogue: SHO

The spectra of our Hamiltonians are **discrete**. A familiar example of such a system is the **simple harmonic oscillator** (SHO) described by the Hamiltonian  $H = \frac{1}{2}p^2 + \frac{1}{2}\omega^2x^2$ .

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Classical: ( $\phi = \omega t$ )

$$x = \frac{1}{\sqrt{2}} (Ae^{i\phi} + A^*e^{-i\phi})$$

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Quantum:

$$\hat{x} = \sqrt{\frac{1}{2\omega}} (\hat{a} + \hat{a}^\dagger)$$

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$$\hat{H} = \omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right) = \omega \left( \hat{N} + \frac{1}{2} \right)$$

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Correspondence  $\sqrt{\omega}Ae^{i\phi} \rightarrow \hat{a} \stackrel{?}{=} e^{-i\hat{\phi}} \sqrt{\hat{N}}$ ,  $\hat{a}^\dagger \stackrel{?}{=} \sqrt{\hat{N}}e^{i\hat{\phi}}$

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If the purported **phase operator**  $\hat{\phi}$  is **hermitian**, equivalently,  $e^{i\hat{\phi}}$  is **unitary**, we get a contradiction.

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Consequently

$$[\hat{N}, e^{i\hat{\phi}}] = e^{i\hat{\phi}}, \quad [\hat{\phi}, \hat{N}] = i \quad (\text{canonically conjugate pair})$$



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In the number state basis (eigenstates of the number operator  $\hat{N}$ ) the commutator is

$$\langle n | \hat{\phi} | m \rangle = -i\delta_{nm}$$

which is inconsistent.

[Susskind-Glogower]

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Similar issues are faced in defining an operator canonically conjugate to one with a finite (discrete) spectrum.

# SU(2) spin $j$ and phase operator I

SU(2) group generated by hermitian operators  $\hat{S}_i$ ,  $i = 1, 2, 3$  admit  $2j + 1$  (finite) dimensional representations for  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$ .

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Eigenstates of phase is a unitary transform (discrete Fourier transform) of these states ( $B \in \mathbb{R}$  in the following)

$$|\phi_k\rangle = \frac{1}{\sqrt{2j+1}} \sum_{m=-j}^j e^{-imB\phi_k} |m\rangle, \quad \phi_k = \frac{2\pi k}{B(2j+1)}, \quad k = -j, \dots, j$$

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Eigenvalues  $\phi_k$  defined mod  $\frac{2\pi}{B}$ .

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Define the **phase operator** 'conjugate' to  $\hat{S}_3$  by **spectral decomposition**:

$$\hat{\phi} = \sum_{k=-j}^j \phi_k |\phi_k\rangle\langle\phi_k|$$

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for  $\beta \in \mathbb{C}$ .

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- ▶ For  $i\beta = \frac{2\pi k'}{B(2j+1)} + \frac{2\pi n}{B}$ , where  $0 \neq k' = -j, \dots, j$  and  $n \in \mathbb{Z}$  (this means that  $i\beta$  is a difference between the phase eigenvalues (mod  $2\pi/B$ )), then  $\phi_k - i\beta$  is again an allowed eigenvalue of the phase operator (mod  $2\pi/B$ ).

# SU(2) spin $j$ and phase operator III

In the second case adding and subtracting  $i\beta$  to the eigenvalue  $\phi_k$  and using the completeness of basis

$$e^{-\beta B \hat{S}_3} \hat{\phi} e^{\beta B \hat{S}_3} = \hat{\phi} + i\beta$$

(only for  $0 \neq \beta = -\frac{2\pi ij}{B(2j+1)}, \dots, \frac{2\pi ij}{B(2j+1)} \bmod 2\pi/B$ ).



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This *shift covariance relation* [Busch-Grabowski-Lahti] may be rewritten as a *commutator*

$$[\hat{\phi}, e^{\beta B \hat{S}_3}] = i\beta e^{\beta B \hat{S}_3}$$

only at these infinite number of special imaginary values of  $\beta$ .

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# Non-interacting spins in an external magnetic field

Take a **one-dimensional lattice**: at the  $n$ -th site there is an  **$SU(2)$  spin  $\sigma_n$**  which takes values in the **spin- $j$**  representation. These are subjected to a **local magnetic field  $B_n$**  (along the third direction).

If the spins are non-interacting (or the magnetic field is strong compared to the spin-spin interactions) the Hamiltonian is  $H = -\sum_n B_n S_3^{(n)}$ . Hence the **energy** at the  $n$ -th site is  $E_n = -B_n \sigma_n$ .

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Since the inter-spin interactions are negligible, the partition function of the system is the product of the partition functions at each site

$$Z_n = \text{Tr} e^{-\beta H_n} = \sum_{\sigma_n} e^{\beta B_n \sigma_n} = \sum_{m=-j}^j e^{\beta B_n m}$$

# Fisher zeroes

At special values of the inverse temperature  $i\beta = \frac{2\pi m}{B_n(2j+1)} \pmod{\frac{2\pi}{B_n}}$   
where  $m \in \{-j, \dots, j\}$  but  $m \neq 0$  the partition function vanishes!

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These zeroes of the partition function in the complex  $\beta$ -plane are called *Fisher zeroes*.

Exactly at these values, the resolvent of the exponential of the phase operator

$$\hat{R}[\hat{\phi}](\phi) = \left(1 - e^{-i\phi} e^{i\hat{\phi}}\right)^{-1}$$

has poles (as a function of  $z = e^{i\phi}$ ).

# Dressing the spin model

Let us label the sites of the one-dimensional lattice by the first  $p$  prime numbers  $p = 2, 3, 5, \dots, p$ : at the  $p$ -th site there is a spin- $j$  valued SU(2) spin  $\sigma_p$ . [Spector]



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Let us also shift the zero of the energy so that the Hamiltonian is  $H = -\sum_p B_p \left( \hat{S}_3^{(p)} + j\mathbf{1} \right)$ . The eigenvalues of  $\hat{N}_p = \hat{S}_3^{(p)} + j\mathbf{1}$  are integers  $0, 1, \dots, n = 2j + 1$ .

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Since the spins are non-interacting, the phase operator  $\hat{\phi}_p$  at the  $p$ -th site satisfies the **shift covariance relation**

$$[\hat{\phi}_p, e^{\beta \sum_2^p B_p \hat{N}_p}] = i\beta e^{\beta \sum_2^p B_p \hat{N}_p}$$

only for the special values  $\beta = \frac{2\pi ik}{B_p(n+1)} \pmod{2\pi/B_p}$  with  $k = 1, \dots, n$  and  $p = 2, \dots, p$ .

## A special choice of the magnetic field

Let us choose the local magnetic field as  $B_p = \ln p$ . The partition function is

$$Z(\beta) = \prod_{p=2}^p \left( \sum_{m_p=0}^n e^{\beta m_p \ln p} \right) = \prod_{p=2}^p \frac{1 - p^{\beta(n+1)}}{1 - p^\beta}$$

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In the **thermodynamic limit**  $p \rightarrow \infty$  (formal?)

$$Z(\beta) = \lim_{p \rightarrow \infty} \prod_{p=2}^p \frac{1 - p^{\beta(n+1)}}{1 - p^\beta} = \frac{\zeta(-\beta)}{\zeta(-(n+1)\beta)}$$

a ratio of the Riemann zeta functions. (Note:  $n$  may be *finite*.)

## A special choice of the magnetic field

Let us choose the local magnetic field as  $B_p = \ln p$ . The partition function is

$$Z(\beta) = \prod_{p=2}^p \left( \sum_{m_p=0}^n e^{\beta m_p \ln p} \right) = \prod_{p=2}^p \frac{1 - p^{\beta(n+1)}}{1 - p^\beta}$$

In the **thermodynamic limit**  $p \rightarrow \infty$  (formal?)

$$Z(\beta) = \lim_{p \rightarrow \infty} \prod_{p=2}^p \frac{1 - p^{\beta(n+1)}}{1 - p^\beta} = \frac{\zeta(-\beta)}{\zeta(-(n+1)\beta)}$$

a ratio of the Riemann zeta functions. (Note:  $n$  may be *finite*.)

This has the exact same form as the partition functions of a  $\kappa$ -parafermionic primon gas of [Julia] and [Bakas-Bowick] with  $\kappa = n + 1$  and  $s = -\beta$ . It would be interesting to try to relate the parafermionic variables to the spin degrees of freedom.

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- ▶ There are **additional poles** (from the zeroes of the  $\zeta$ -function in the denominator).
- ▶ the **nontrivial zeroes** are the **Fisher zeroes** in the complex  $\beta$ -plane. They do not have an **accumulation point** on the real line. Also conjecturally the zeroes of the  $\zeta$ -function are isolated. So these zeroes are not related to any phase transition—consistent with what is expected physically.

# Analyticity of the PF

The *spectrum of the phase operator* is encoded in the trace of its resolvent:

$$\sum_{p=2}^p \sum_{n_p \in \mathbb{Z}} \sum_{k_p=0}^n \frac{1}{1 - e^{i\phi k_p + i \frac{2\pi n_p}{\ln p} - i\phi}} - \sum_{p, n_p} \frac{1}{1 - e^{i \frac{2\pi n_p}{\ln p} - i\phi}}$$

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$-i \frac{d}{d\phi} \ln \left( \frac{\zeta(i\phi)}{\zeta((n+1)i\phi)} \right)$  for  $\text{Re}(i\phi) > 1$ . This is related to the partition function of the 'spin model'.

# Outline

Motivation

Phase operator

A simple model in statistical mechanics

Phase operators related to the  $\zeta$ -function

# Incommensurate periodicities

We have a phase operator for each site—that is too many. The sum  $\sum_p \hat{\phi}_p$  does not have the desired shift covariance relation. (Because the site dependence of the magnetic field  $B_p = \ln p$  leads to incommensurate periodicity.)



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**Aggregate phase operator**  $\varphi$ : on the state  $\bigotimes_p |\phi_{p,k_p}\rangle$  it acts as  $e^{i\hat{\phi}_p}$  if **one, and only one**, of the eigenvalues  $\phi_p \neq 0$ , otherwise this operator acts as the **identity**.

$$e^{i\varphi} = \sum_{p=2}^p e^{i\hat{\phi}_p} \prod_{q \neq p} \delta_{\phi_q, 0} + \frac{2}{n_{\neq 0}(n_{\neq 0} - 1)} \sum_{p_1, p_2=1}^p \prod_{p_1 \neq p_2} (1 - \delta_{\phi_{p_1}, 0})(1 - \delta_{\phi_{p_2}, 0})$$

where  $n_{\neq 0} = \sum_p (1 - \delta_{\phi_p, 0})$  is the number of sites where the phase is non-zero. Eigenvalues are in  $\bigcup_p U(1)$  (and not in  $(U(1))^p$ ).

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Alternatively, project on a subspace, in which only one, and exactly one, phase is different from zero and use the total phase operator in this subspace.

# Aggregate phase operator

The aggregate phase operator can be shown to satisfy

$$[\varphi, \Pi_1 e^{-\beta H} \Pi_1] = i\beta \Pi_1 e^{-\beta H} \Pi_1$$

which holds in the subspace (to which we project by  $\Pi_1$ ) for all  $\beta = \frac{2\pi k}{B_p(n+1)} \pmod{2\pi/B_p}$  where  $k = 1, \dots, n$  and  $p = 2, \dots, p$ .

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Except for a pole at  $\phi = 0$ , the **analytic properties** of the **trace of the resolvent of the aggregate phase operator** are related to that of the partition function.

## Another proposal: a simple example

In the basis of eigenstates  $\{|n\rangle\}$  ( $n = 0, 1, \dots, n$ ) of the number operator  $\hat{N}$ , define

$$\hat{\Phi} = \sum_{m \neq n} \frac{i |m\rangle \langle n|}{m - n}$$

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The commutator holds in a **codimension one subspace**. E.g.,  $v_n$ s can be the nontrivial  $(n+1)$ -th **roots of unity**. [Galindo]

# Local $\zeta_p$ -function as trace

The local factors  $\zeta_p(s) = 1/(1 - p^{-s}) = \sum_{m=0}^{\infty} p^{-sm}$  can be realised as the trace of the generalised Vladimirov derivative  $D_{(p)}^{-s}$  in  $L^2(p^{-1}\mathbb{Z}_p) \subset L^2(\mathbb{Q}_p)$ .

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The **eigenvalues** are labelled by integers  $n_{(p)} \in \mathbb{Z}$ . Corresponding **eigenstates** are denoted by  $|n_{(p)}\rangle$ . More details in the next talk by Dutta.

# Local phase operator on $L^2(p^{-1}\mathbb{Z}_p)$

For a fixed value of  $p$ , the local phase operator  $\frac{i}{\ln p} \sum_{n_a^{(p)} \neq n_b^{(p)}} \frac{|\mathbf{n}_a^{(p)}\rangle \langle \mathbf{n}_b^{(p)}|}{(n_a^{(p)} - n_b^{(p)})}$

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For the full space  $\otimes_p L^2(p^{-1}\mathbb{Z}_p)$ , we use prime factorisation

$$n = \prod_p p^{n_{(p)}} \longleftrightarrow |\mathbf{n}\rangle = \otimes_p |n_{(p)}\rangle$$

These are (orthonormal) eigenfunctions of the Hamiltonian is

$$H = \sum_p \ln p N_{(p)}$$

$$H|\mathbf{n}\rangle = \sum_p n_{(p)} \ln p |\mathbf{n}\rangle = \ln n |\mathbf{n}\rangle$$



# Proposed Toeplitz type phase operator

Take a *finite* linear combination of the form  $|\mathbf{v}\rangle = \sum_{\mathbf{n}} v_{\mathbf{n}} |\mathbf{n}\rangle$ . Further, we require that  $v_{\mathbf{n}} \equiv v_{(n(2), n(3), \dots)} = \prod_p v_{n(p)}$ , i.e., the coefficients factorise.

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Let  $n = \max_p \{n^{(p)}\}$ . There is also a highest prime  $p$ , above which all  $n^{(p>p)} = 0$  in the factorisations.

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For  $p > \mathfrak{p}$ ,  $|n_{(p)} = 0\rangle$  is the 'vacuum' state in the number representation. In the limit  $\mathfrak{p} \rightarrow \infty$  this should be a well defined Toeplitz operator on  $\bigotimes_p L^2(p^{-1}\mathbb{Z}_p)$ . However, we do not have a proof.

## Extension to Dirichlet $L$ -functions

Riemann  $\zeta$ -function is a part of the family of **Dirichlet  $L$ -functions**, defined as the analytic continuation of the **Dirichlet series**

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_{p \in \text{primes}} \frac{1}{1 - \chi(p)p^{-s}}, \quad \text{Re}(s) > 1$$

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Kozyrev wavelets are common set of eigenfunctions of all these (**mutually commuting**) Vladimirov type derivatives. Indeed the operators can be related by suitable **unitary transformations Dutta-DG**. The rest of the story is very similar—one can repeat all arguments almost *mutatis mutandis*—therefore, we skip the details.

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By suitably choosing the coefficients that determine the subspace, and the parameter  $\alpha$ , the phase operators related to the Riemann  $\zeta$ - and the Dirichlet  $L$ -functions can be **related** by **unitary transformations**.  
Dutta-DG.

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